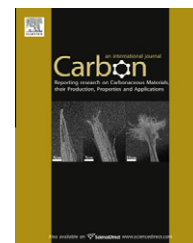


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# Electronic structure of graphite oxide and thermally reduced graphite oxide

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## ABSTRACT

We present the electronic structure evolution from graphite oxide to thermally reduced graphite oxide. Most functional groups were removed by thermal reduction as indicated by high resolution X-ray photoelectron spectroscopy, and the electrical conductivity increased 6 orders compare with the precursor graphite oxide. X-ray absorption spectroscopy reveals that the thermally reduced graphite oxide shows several absorption peaks similar to those of pristine graphite, which were not observed in graphite oxide or chemically reduced graphite oxide. This indicates the better restoration of graphitic electronic conjugation by thermal reduction. Furthermore, the significant increased intensity of Raman 2D band of thermally reduced graphite oxide compared with graphite oxide also suggests the restoration of graphitic electronic structure ( $\pi$  orbital). These results provide useful information for fundamental understanding of the electronic structure of graphite oxide and thermally reduced graphite oxide.

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## 1. Introduction

Graphite oxide (GO) is a functional groups rich carbonaceous layered material [1,2]. It is a non-stoichiometric compound consists of various functional groups. The epoxy and hydroxyl groups randomly interspersed on the top and bottom surfaces of each graphene sheet, while carboxyl and carbonyl groups normally locate at edges [1–4]. Despite the presence of functional groups, the layered structure of GO is well preserved with AB stacking order [3,5]. GO is insulator, but reduced graphite oxide (RGO) is good conductor with conductivity 4–6 orders higher than that of GO due to the restoration of  $sp^2$ -carbon networks [1,6–9]. RGO can be prepared into large size films with high conductivity and transparency for practi-

cal applications [7,10]. For example, RGO film was used as transparent and conductive electrodes for dye-sensitized solar cell [8] and ultra-capacitor applications [11].

Although the recent progress on processing RGO film for various practical applications have been reported [7,8,10–12], the fundamental understanding of the electronic structure of this carbon-based composite is still lacking. Soft X-ray absorption spectroscopy (XAS) is a very reliable tool to investigate the electronic structure (unoccupied states above Fermi level) of carbon-based materials [13–16]. However, reports on electronic structure of GO and RGO probed by XAS are very limited [17–19]. To our best knowledge, there are no relevant reports on thermally reduced graphite oxide (tRGO) even though it is considered as the most simple and effective

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method to achieve best restoration of  $sp^2$ -carbon structures compare with normal chemically reduced graphite oxide (tRGO) [6,7]. In this work, X-ray photoelectron spectroscopy (XPS), conductivity measurement and Raman spectroscopy were used to assist XAS to investigate electronic structure evolution from GO to tRGO.

## 2. Experimental

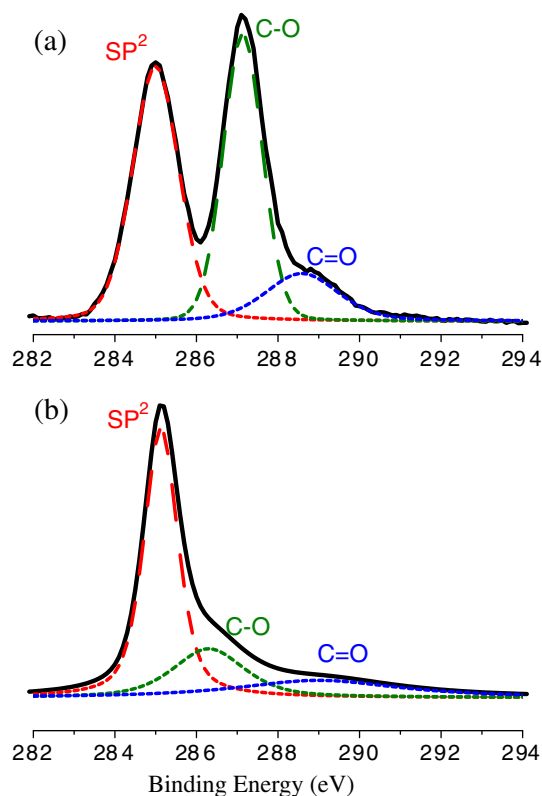
Graphite oxide was synthesized from natural graphite powder by conventional modified Hummers method [5]. Two pieces of paper-like structured GO films with size of  $\sim 5 \times 5$  mm and thickness of  $\sim 1 \mu\text{m}$  were prepared [20]. One of the GO films was reduced by  $\text{H}_2/\text{Ar}$  (1:2) airflow at  $950^\circ\text{C}$  for 30 min (tRGO). The heating and cooling rates were keeping at  $\sim 5^\circ\text{C}/\text{min}$ . The carbon-related chemical species of GO and tRGO were characterized by high resolution XPS using Kratos Axis Ultra DLD (delay line detector) spectrometer equipped with a monochromatic  $\text{Al K}\alpha$  X-ray source (1486.6 eV) with resolution of 0.1 eV. The conductivity of GO and tRGO films was measured by Keithley 2612A electrical measurement system. Raman spectra were recorded by (1) WITEC CRM200 system (excitation laser energy is 2.33 eV); (2) Renishaw system (excitation laser energies are 1.58 and 3.81 eV, respectively). The near edge X-ray absorption fine structure (NEXAFS) measurements were carried out at the Surface, Interface, and Nanostructure Science (SINS) beamline of the Singapore Synchrotron Light Source, using total-electron yield (TEY) mode and linear p-polarized light with photon energy resolution of 0.1 eV.

## 3. Results and discussion

High resolution XPS spectra of C 1s region of GO and tRGO are shown in Fig. 1, while the detailed information on the portion of deconvoluted components is shown in Table 1. It can be seen clearly that GO shows three most prominent deconvoluted components, with one of them assigned to  $sp^2$ -C 1s (at  $\sim 285$  eV), and the other two assigned to species of C–O (hydroxyl and epoxy) and C=O (carboxyl) with binding energy of  $\sim 287$  and  $\sim 289$  eV, respectively [1,3,7]. This clearly shows high degree oxidation of GO. For tRGO, most chemically attached functional groups were successfully removed by thermal reduction, and the portion of  $sp^2$  hybridized carbon species obviously increased as shown in Fig. 1b. Furthermore, the full width of half maximum (FWHM) of  $sp^2$  carbon peak is very small ( $\sim 1$  eV), and it is similar to that of the previously reported tRGO [7].

I–V curves of GO and tRGO are shown in Fig. 2. The differential conductivity of GO is  $\sim 10^{-4}$  S/cm at bias voltage of 3 V, while the conductivity of tRGO is  $\sim 100$  S/cm, approximately 6 orders higher than that of GO. The dramatic enhancement of conductivity of tRGO also infers the removal of oxygenated functional groups and the restoration of  $sp^2$  hybridized carbon component, which is in consistent with the XPS results.

Raman spectra of GO and tRGO are shown in Fig. 3. There are two main prominent peaks for both GO and tRGO samples, assigned to G band ( $\sim 1580 \text{ cm}^{-1}$ ) and D band ( $1310\text{--}1430 \text{ cm}^{-1}$ , depends on the excitation laser energy), which are  $E_{2g}$  vibrational mode in-plane and  $A_{1g}$  breathing mode, respectively [21]. G band is Raman active for  $sp^2$  hybridized carbon-based

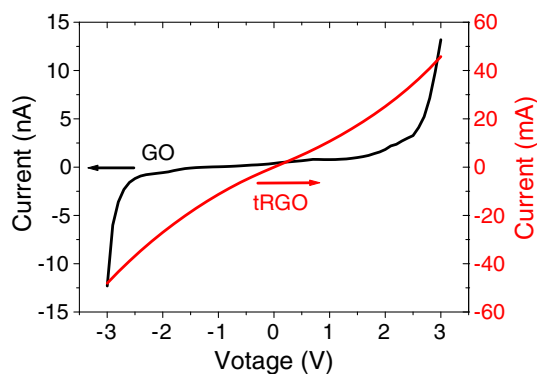


**Fig. 1 – High resolution XPS spectra of GO (a) and tRGO (b). The black solid lines are original spectra; the deconvoluted dashed lines in red, green and blue are assigned to  $sp^2$ -C, C–O (hydroxyl and epoxy groups), C=O (carboxyl groups), respectively. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)**

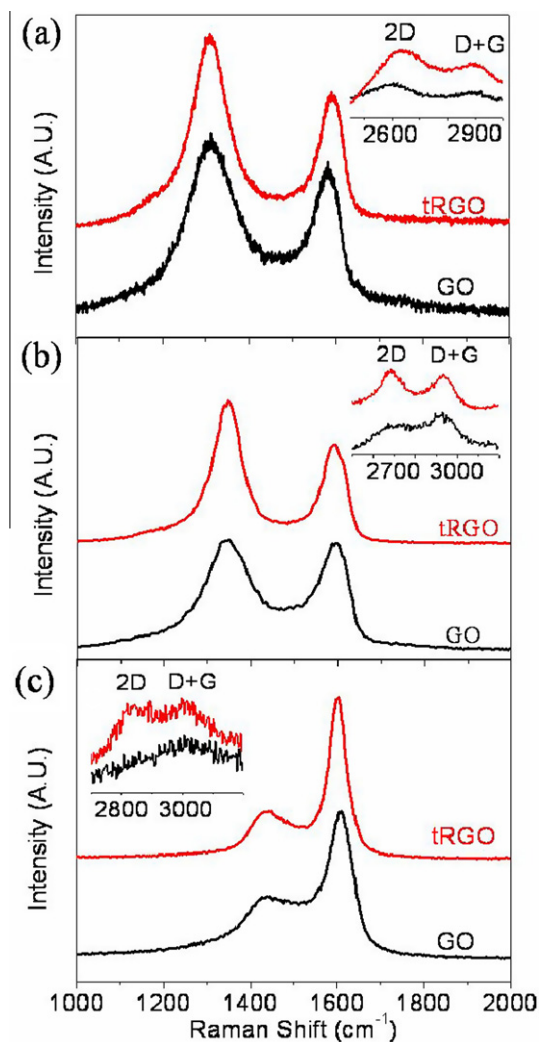
**Table 1 – The portion of chemical species for GO and tRGO based on XPS results.**

	GO	tRGO
$sp^2$ (C–C)%	$45 \pm 5$	$74 \pm 5$
C–O%	$41 \pm 5$	$14 \pm 3$
C=O%	$14 \pm 2$	$12 \pm 2$

material, while D band is activated only if defects participate the double resonance Raman scattering near K point of Brillouin zone [22]. Although chemical components of GO is significantly different from that of tRGO, Raman spectra show neglectable changes on the intensity ratio of  $I_D/I_G$  between GO and tRGO (Fig. 3). Therefore, our results indicate that the average size of  $sp^2$  domains does not change significantly from GO to tRGO as the intensity ratio of  $I_D/I_G$  is normally used for estimating the  $sp^2$  domain size of graphite-based materials. It is reasonable that though thermal reduction can remove the functional groups from GO, the exfoliation of GO is inevitable during the thermal reduction [1,6], and these two factors make the  $sp^2$  domain size of GO does not change significantly after reduction. Besides G and D bands, there are two Raman bands with weaker intensity called 2D and D + G locate at



**Fig. 2** – I–V curves of GO (black line) and tRGO (red line). (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)



**Fig. 3** – Raman spectra for GO and tRGO excited by various excitation laser energies (wavelengths): (a) 1.58 eV (785 nm), (b) 2.33 eV (532 nm), (c) 3.81 eV (325 nm). Insets are 2D and D + G range for GO and tRGO (background removed).

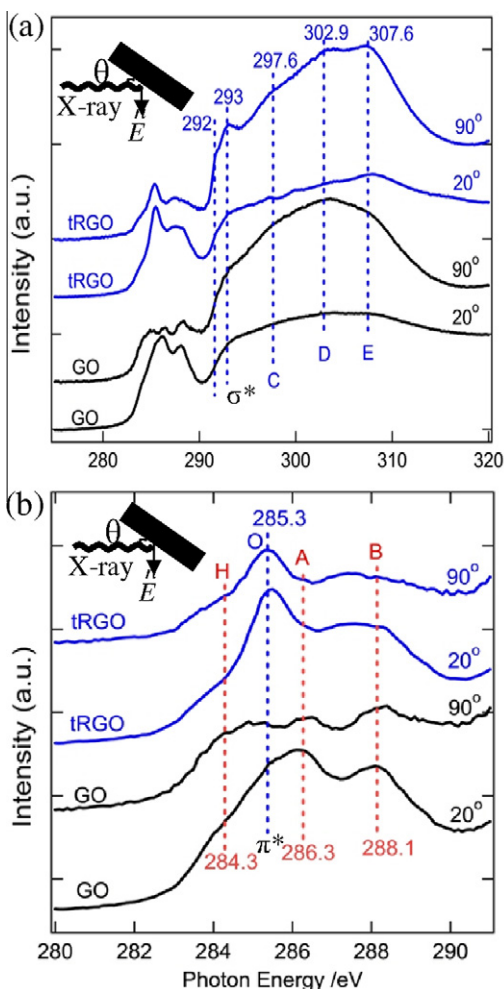
2700–3000  $\text{cm}^{-1}$  as shown in insets of Fig. 3. 2D band is Raman active for crystalline graphitic materials and it is sensitive to

the  $\pi$  band in the graphitic electronic structure, while the combination mode of D + G is induced by disorder [22]. 2D and D + G bands are normally ignored on the studies of GO and RGO because of the weak intensity [1,6,9,19,23]. Here, we found that it is very easy to distinguish the electronic conjugation of GO and tRGO by comparing these two bands, in which the obvious enhancement of 2D intensity of tRGO compared with GO can be clearly observed using various excitation laser energies (insets of Fig. 3). The intensity ratios of  $I_{2D}/I_G$  and  $I_{2D}/I_{D+G}$  are also shown in Table 2. The data also shows that from GO to tRGO, the  $I_{2D}/I_{D+G}$  changed more significantly than  $I_{2D}/I_G$ , and the dramatically increased  $I_{2D}/I_{D+G}$  from GO to tRGO using various excitation laser energies suggests that the recovery of graphitic electronic conjugation for tRGO.

In addition to Raman spectroscopy, NEXAFS measurement is an effective method for analyzing unoccupied electronic structure of carbon related materials [13–16]. Carbon K-edge NEXAFS ( $1s-\pi^*$  and  $1s-\sigma^*$  locate at  $\sim 285$  and  $293$  eV, respectively) spectra in TEY mode for GO and tRGO are shown in Fig. 4. The two-dimensional nature of graphitic material is supposed to have strong directionality of the orbitals:  $\sigma$  orbitals lie within the basal plane and  $\pi$  orbitals are directed perpendicular to the basal plane. Therefore, XAS is angle dependent for the  $sp^2$ -carbon-based materials with layered structure. The NEXAFS for GO and tRGO were using linear polarized X-ray beam with incident beam angle of  $\theta = 90^\circ$  (perpendicular) and  $\theta = 20^\circ$  (parallel dominate) respect to substrate (inset of Fig. 4). For ideal highly ordered pyrolytic graphite (HOPG) or graphene, as the E vector is parallel to the basal plane when the incident beam angle is  $90^\circ$ , thus the transition of  $1s-\pi^*$  should be quenched as the  $\pi$  final states cannot be selected [14]. In our experiment, the  $1s-\pi^*$  transition is not fully quenched for normal incidence ( $\theta = 90^\circ$ ), but the intensity is obviously decreased compared with parallel incidence ( $\theta = 20^\circ$ ) (Fig. 4b). This is similar to the results reported recently by Lee et al. [19]. However, the incident-angle dependence of  $1s-\pi^*$  transition intensity for GO and tRGO is rather weak compared to that of HOPG. This suggests that the GO and tRGO are not perfectly flat 2-D materials but present wave-like 2-D structure with periodic fluctuation, probably originating from the coexistence of different micro-domains, the rather disordered stacking of GO and tRGO planes compared to that of HOPG, as well as the disordering induced by the oxidation processes [20]. Furthermore, the hydroxyl and epoxy groups distributed randomly on top and bottom of basal plane of each graphene layer would pull the bonded carbon atoms up and down with respect to the basal plane. For  $1s-\sigma^*$  transition, the intensity becomes much stronger for tRGO when the incident beam angle is changed from  $\theta = 20^\circ$  to  $\theta = 90^\circ$ , but there is only small enhancement for GO. This is another evidence to show that only small amount of  $\sigma$  orbitals keep intact for GO because of the strong oxidation, while the intact  $\sigma$  orbitals increased dramatically with the restoration of  $sp^2$  hybridized carbon network by thermal reduction. A shoulder peak at 292 eV of tRGO for  $\theta = 90^\circ$  should be excitonic state, the existence of this excitonic state indicates that tRGO consist of a large number of  $sp^2$  hybridized carbon atoms as this peak is usually observed for pristine graphite [17] and cRGO [19]. Here, we should emphasize that despite the thermal reduction process removed most functional groups, the tRGO can only be

**Table 2 – Intensity ratios of  $I_D/I_G$  and  $I_{2D}/I_{D+G}$  for GO and tRGO excited by various excitation laser energies.**

Laser energy (eV)	GO ( $I_D/I_G$ )	tRGO ( $I_D/I_G$ )	GO ( $I_{2D}/I_{D+G}$ )	tRGO ( $I_{2D}/I_{D+G}$ )
1.56	$2.27 \pm 0.12$	$2.44 \pm 0.11$	$2.09 \pm 0.22$	$3.91 \pm 0.27$
2.33	$2.11 \pm 0.10$	$2.01 \pm 0.13$	$0.70 \pm 0.15$	$1.52 \pm 0.20$
3.81	$1.54 \pm 0.05$	$1.05 \pm 0.06$	$0.17 \pm 0.05$	$0.49 \pm 0.10$



**Fig. 4 – (a) C K-edge XAS of GO and tRGO for incident beam angle of  $\theta = 20^\circ$  and  $\theta = 90^\circ$ , respectively. (b) The enlarged region of 280–291 eV.**

considered as a mixture of the graphite-like species and other unspecified components as the weak  $1s-\sigma^*$  and  $1s-\pi^*$  peaks compare to the background absorption.

In Fig. 4b (Enlarged region of 280–291 eV), besides the absorption peak O induced by  $sp^2$ -C  $1s-\pi^*$  transition at 285.3 eV, two additional absorption peaks A and B at 286.3 and 288.1 eV can be clearly observed for GO with both incident beam angle of  $\theta = 20^\circ$  and  $90^\circ$ , respectively. Peak A can be assigned to  $1s-\pi^*$  (C–O) transition as the hydroxyl and epoxy functional groups chemically attached to basal plane of each graphene layer [24]; peak B can be assigned to  $1s-\pi^*$  (C=O) as the existence of carboxyl and carbonyl groups [18]. There is one more peak H locates at 284.3 eV for GO, about

1 eV below  $1s-\pi^*$  ( $sp^2$ ). This peak is possibly attributed to edge-derived electronic state as similar structure has been observed in nanographite [25] and single layer graphene [26], respectively.

At higher photon energy range, the  $1s-\sigma^*$  transition around 293 eV is assigned to the final state of  $1s-\sigma_1^*$  and  $1s-\sigma_2^*$  at Brillouin zone region  $\Gamma$ –Q [14]. In addition to this prominent transition, XAS for single crystalline graphite usually can resolve absorption transitions from ground state  $1s$  to other  $\sigma^*$  subband states which contain high unoccupied density of states at about 10–30 eV above the Fermi level [14]. In our experiment, three additional peaks C–E, respectively, locate at 297.6, 302.9 and 307.6 eV are observed for tRGO (Fig. 4a). These peaks were also observed for single crystalline graphite locate at 297.8, 303.5 and 307.5 eV, respectively [14]. The obvious enhanced signals for peaks C–E at incident beam angle of  $\theta = 90^\circ$  than that of  $\theta = 20^\circ$  further confirms that C–E are due to transitions from  $1s$  state to other  $\sigma^*$  subband states. On the other hand, there are no such noticeable peaks for GO and they were not observed for cRGO as reported elsewhere [19]. Therefore, tRGO presents more similar electronic structure to pristine graphite than that of cRGO. This indicates the better restoration of graphitic electronic conjugation by thermal reduction than chemical reduction. The better restoration of electronic conjugation of tRGO compared with cRGO is the basic and significant evidence to explain why tRGO normally present higher electrical conductivity than that of cRGO [6,7].

#### 4. Conclusions

In conclusion, the electronic structure of GO and tRGO is systematically studied. It is found that the comparison of Raman 2D and D + G bands can indirectly monitor the electronic structure of GO and tRGO ( $\pi$  orbitals). Angle dependent C K-edge NEXAFS measurement also confirmed the effective restoration of the graphitic electronic conjugation on tRGO. In addition to two prominent transition peaks  $1s-\pi^*$  and  $1s-\sigma^*$  at  $\sim 285$  and 293 eV, respectively, some other peaks (at 297.6, 302.9 and 307.6 eV) were also observed, which is similar to that of pristine graphite. This indicates that the tRGO contains similar electronic structure as that of graphite, particularly the in-plane  $\sigma^*$  subband states. These results explained the key reasons for the significant increase of electrical conductivity of tRGO.

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